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ATTORNEY'S DOCKET NO. V0077/7119

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Grant Kenji Larsen
Serial No: 09/676,548
Filed: September 29, 2000
For: SURFACE STRUCTURE AND METHOD OF MAKING, AND
ELECTROSTATIC WAFER CLAMP INCORPORATING SURFACE
STRUCTURE
Examiner: unk.
Art Unit: 1772

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

- [x] Information Disclosure Statement
- [x] Form PTO-1449 and References
- [x] Return Receipt Postcard

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If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

The fee of \$0 may be charged to Deposit Account No. 50-0896. If the fee is insufficient, the balance may be charged to Deposit Account No. 50-0896. A duplicate of this sheet is enclosed.

I HEREBY CERTIFY THAT THIS
CORRESPONDENCE IS DEPOSITED WITH THE
UNITED STATES POSTAL SERVICE AS FIRST
CLASS MAIL IN AN ENVELOPE ADDRESSED TO:
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, DC 20231, ON

JUNE 20, 2001
DATE

William R. McClellan

Respectfully Submitted,

William R. McClellan
William R. McClellan, Reg. No. 29,409
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500

Docket No. V0077/7119
June 20, 2001
xddd



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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 20 day of June, 2001.

William R. McClellan
William R. McClellan, Reg. No. : 29,409

Commissioner for Patents
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

A. ☒ This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

PTA

☐ Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and this communication was not received by the office of the undersigned more than 30 days prior to filing of this Information Disclosure Statement.

PART II: Information Cited

A. ☒ The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

A copy of a Search Report in a counterpart International Application is enclosed.

B. ☐ The Applicant hereby makes the following additional information of record in the above-identified application.

1. ☐ **The above-identified U.S. application claims priority to application Serial No. PCT/USxx/xxxxx. If the Examiner has not had the benefit of review of the file history of PCT/USxx/xxxxx, then he/she is asked to contact the undersigned, who will provide a copy of same.**
2. ☐ **The applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:**

<u>Serial No.</u>	<u>Filing Date</u>	<u>Title of Application</u>
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[NOTE - Must provide a copy of any pending U.S. application, even if it was previously submitted to the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

The following are remarks concerning the other information cited:

PART IV: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

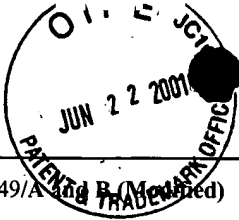
Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Grant Kenji Larsen, Applicant(s)

By: William R. McClellan
William R. McClellan, Reg. No. 29,409
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Telephone (617) 720-3500

Docket No. V0077/7119
Dated: June 20, 2001
xndd



FORM PTO-1449/A INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICATION NO.: 09/676,548		ATTY. DOCKET NO.: V0077/7119	
		FILING DATE: September 29, 2000			
		APPLICANT: Grant Kenji Larsen			
		GROUP ART UNIT: 1772		EXAMINER: unk.	
Sheet		of			

U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYY
		Number	Kind Code		
		5,583,736		Anderson et al	12/96
		5,812,362		Ravi	9/98
		5,822,171		Shamouilian et al	10/98
		5,777,838		Tamagawa et al	7/98

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FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
		EP	0 323 902A2		Varian Associates, Inc.	7/89	

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]